



REVIEW

Sleep bruxism and obstructive sleep apnea: association, causality or spurious finding? A scoping review

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Abstract

Study Objectives: To evaluate the available evidence on the putative relationships between sleep bruxism (SB) and, obstructive sleep apnea (OSA) to assess the extent of research on this topic, and to formulate suggestions for future research.

Methods: A scoping review including studies examining temporal and overall association and prevalence of SB and OSA was performed. Six main databases and gray literature were searched. The studies selection was conducted by three independent reviewers. A narrative synthesis of the results was carried out.

Results: Thirteen studies in adults and eight studies in children were finally included. The median of concomitant conditions prevalence was 39.3% in adults and 26.1% in children. Marked methodological variability was identified among studies in adults and even more when we compared detection methods in children. No significant association between OSA and SB emerged in most studies in adults, while an association may be possible in children.

Conclusions: Based on the current literature, it is not possible to confirm that there is a relationship between SB and OSA in adults. In patients under pediatric care, although this association seems plausible, there is currently insufficient supportive evidence. Standardized validated methodologies for identifying SB should be consistently used in both populations before reaching any conclusion regarding such association. Furthermore, assessment of shared phenotypes between patients with SB and patients with OSA may reveal new insights that will contribute to personalized approaches aiming to optimize the management of such comorbidities.

Statement of Significance

Studying a possible relationship between sleep bruxism (SB) and obstructive sleep apnea (OSA) is of interest to physicians and dentists as it will allow improved comprehensive management in presence of either comorbidity. The present synthesis reveals that it is not possible to confirm a relation between SB and OSA in adult patients due to the large variability and lack of standardized methods. In patients under pediatric care, although this association might be possible, it is not conclusive from the current literature. Phenotyping of the overlap between these comorbidities may reveal specificity in a subgroup that will contribute to personalized optimal management of the complex interactions between these conditions.

Key words: sleep bruxism; obstructive sleep apnea; scoping review; association; prevalence

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Introduction

Sleep bruxism (SB) has been defined as a masticatory muscle activity during sleep that is characterized as rhythmic (phasic) or non-rhythmic (tonic) activity, and it is not a movement disorder or a sleep disorder in otherwise healthy individuals [1]. The prevalence of SB in adults has been reported to be $12.8 \pm 3.1\%$ [2], while the prevalence of SB assessed by polysomnography (PSG) alone was found to be at 7.4% and PSG with a questionnaire at 5.5% [3]. In children, the prevalence of SB has varied widely and is estimated at around 3.5% to 46.0% [4].

Sleep bruxism has long been viewed as a villain in dentistry due to its detrimental effects on the stomatognathic system. Tooth wear and damage [5], morning fatigue in the masticatory muscles [6], reduction in bite force [7], technical and biological complications in dental implants [8,9], and higher failure in prostheses [8,10] are among some problems that have been related to SB. Furthermore, the presence of SB seems to negatively influence sleep quality and quality of life [11–13].

On the other hand, SB has been evoked as a possible “good guy” in specific situations. For example, studies that evaluated gastroesophageal reflux disease have raised the possibility of SB serving as a protective factor [14,15]. Some authors suggested that SB might exert a protective role to maintain breathing patency and attenuate the severity and occurrence of obstructive sleep apnea (OSA) [16–20]. Nonetheless, such hypotheses are not yet supported by conclusive evidence.

Nowadays, the literature has avoided using the expression “diagnosis of bruxism”, preferring the use of the terms “assessment” or “detection” since in otherwise healthy individuals, SB is considered a physiological motor behavior [1,6]. SB detection remains a challenge in clinical practice. In 2018, the International Consensus for the Assessment of Bruxism proposed the following classification: (1) possible SB, exclusively based on self-report; (2) probable SB, based on a positive clinical inspection result with or without a positive self-report; and (3) definitive SB, based on a positive measurement tool assessment with or without positive self-report and/or positive clinical inspection [1]. Accordingly, definitive SB can be confirmed with the use of electromyography (EMG) recording masticatory muscle during sleep, although alternative methodologies have been recently proposed [21]. When the presence of comorbidities is suspected, EMG activity recordings of the masticatory muscles should ideally be part of a more comprehensive examination, i.e. PSG that includes respiratory variables and, when possible, audio and video recordings [3,22–24].

OSA is a highly prevalent sleep disorder that involves either cessation or significant decreases in airflow in the presence of augmented breathing efforts in the context of increased upper airway resistance. OSA is the most common type of sleep-disordered breathing. The recurrent upper airway collapse episodes during sleep are associated with recurrent oxyhemoglobin desaturations and arousals from sleep [25]. A systematic review (SR) suggested that the overall OSA prevalence ranged from 9 to 38% and was higher in adult men [26]. In children, the prevalence estimates vary depending on the populations studied and the stringency of the diagnostic criteria being considered, but estimates are traditionally reported to range between 1 to 5% [27]. OSA is not adequately managed in the general population and remains undiagnosed

and non-treated in a substantial portion of the population [28]. Furthermore, unrecognized OSA has a substantial economic impact on healthcare systems [29,30].

Several authors [1,19,31] have suggested a possible relationship between SB and OSA; however, this association was only explored by detecting the presence of the two conditions [16,32–34]. Moreover, two studies challenged the suggested cause and effect temporal relationship between SB and OSA, whether the SB episodes happen before or after the OSA episode [35,36].

Due to the importance of this topic for clinical practice, three SRs focused on adult patients were published, and the general conclusion is that there was not enough conclusive evidence to support such an association [37–39]. For this reason, we elected to conduct a scoping review to provide a more comprehensive perspective of related knowledge, map existing literature, to examine how research is conducted in this field, analyze knowledge gaps and suggest future research [40]. Thus, the objective of this scoping review is to assess the relationship between OSA and SB in both adults and children, to evaluate the research conducted to date in this area and formulate suggestions for future investigation.

Methods

Protocol and registration

This scoping review was reported according to the Preferred Reporting Items for Systematic reviews and Meta-Analyses extension for Scoping Reviews (PRISMA-ScR) Checklist [41] (Supplementary Appendix S1). The protocol of this study was registered on the Open Science Framework registration platform (<https://osf.io/>) under the identification code DOI:10.17605/OSF.IO/CZWJ4.

Eligibility criteria

We included observational studies that assessed the concurrent prevalence, association between SB and OSA, or temporal association (if the SB episode happens before or after the apneic event) and respiratory variables, without a limited period of publication dates or any language restrictions.

Any kind of “association”, that can occur by coincidence or chance, happening at the same time or in sequence, one resulting from the other, as a cause, or due to shared risk factors were considered.

Two types of studies were included:

- (1) Studies in adults (>18 years), in which SB and OSA were both assessed by using PSG.
- (2) Studies in children (<12 years), in which any detection method (PSG, questionnaire, and clinical evaluation) were used.

Exclusion criteria

1. Studies that did not evaluate the relationship between SB and OSA;
2. Studies in adults that did not detect the SB and OSA by PSG;
3. Reviews, letters, books, conference abstracts, case reports, case series, opinion articles, technique articles, posters,

guidelines, short paper, pilot studies, mechanism evaluation studies;

4. Full-text or data not available, even after three attempts to contact the corresponding authors over three weeks.

Information sources

A search strategy developed with the help of an experienced health science librarian was applied on six databases: Embase, LILACS, Livivo, PubMed, Web of Science, and Scopus. Gray literature was searched on Proquest Dissertation and Theses, OpenGrey, and Google Scholar. Additionally, experts were contacted for the additional indication of studies for inclusion. Hand searches of bibliographies from included studies were also conducted. The search was carried out on March 17, 2021, and it was updated on August 9, 2021. The references were imported into a reference software manager (EndNote X9 ©; Thomson Reuters, Philadelphia, PA, United States), and the duplicate documents were excluded.

Search

The electronic search strategy on the PubMed database is presented in [Table 1](#). The search strategies applied in other databases can be found in [Supplementary Appendix S2](#).

Selection of sources of evidence

The selection of the studies was performed in two phases by three independent reviewers (P.P., H.P., and J.C.R.). In phase-1, titles and abstracts were screened using online software Rayyan® (Qatar Computing Research Institute, Qatar). Next, in phase-2, the same reviewers applied the eligibility criteria to the full-text studies. A fourth author (C.M.) was consulted in both phases if any disagreement arose.

Data charting process

The charting process was done by an independent reviewer (P.P.) and subsequently independently checked by two other reviewers (H.P. and J.C.R.). Disagreements were resolved at a consensus meeting. The collected data were inserted in a form previously prepared using Microsoft® Excel 16.29.1 (Microsoft Office 2019, Microsoft, Redmond, United States).

Extracted data comprised: Study Characteristics: author, publication year, country, and study design; Objective of study; Population Characteristics: Sample, sex, mean age, inclusion criteria/setting; Methods: OSA diagnoses criteria and SB detection criteria; Results: Findings/main conclusions, statistical analysis, prevalence of the SB and OSA conditions concomitant; and Additional Information: Report on the sources of funding and conflicts of interest.

Data items

1. Frequency of concomitant SB and OSA: proportion based on dividing the number of patients affected by SB and concomitant OSA by the number of patients evaluated.
2. Sleep Efficiency (SE): the number of total sleep time/ total time in bed * 100%.
3. Arousal Index (AI): the total number of arousals/ total sleep time * 60.
4. Minimum oxygen saturation (minOSAT): the number of events of 3% drops in oxygen saturation per hour of sleep.
5. Oxygen desaturation index (ODI): the number of times per hour of sleep that the blood's oxygen level drops by a certain degree from baseline.
6. Apnea-Hypopnea Index (AHI): a reduction in breathing amplitude by $\geq 30\%$ for ≥ 10 s with a $\geq 3\%$ decline in blood oxygen saturation or arousal.

Synthesis of results

The results were presented in two tabular summaries according to study characteristics, the study's objective, population characteristics, methods, results, and additional information in adult and child populations. Two figures regarding adult and children's studies were generated to highlight the associated findings between SB and OSA.

A narrative summary was drafted to synthesize the findings and describe the evidence identified concerning the review objective.

Results

Selection of sources of evidence

A total of 1,161 articles were identified in the databases and 42 in the gray literature repositories, as shown in the PRISMA flow chart ([Figure 1](#)). After the removal of duplicates, 700 studies were screened by title and abstracts. A complete reading of 41 articles was performed, and 21 studies were included in this scoping review. [Supplementary Appendix S3](#) displays references of excluded articles alongside reasons for exclusion.

Characteristics of sources of evidence

Twenty-one studies were included and all of them were published in English. The studies in adults ($n = 13$) were published between 1986 [42] and 2020 [33,34,43,46]. These studies were carried out in Brazil [33,34,44], Canada [35], Japan [17,32,36], Poland [43,45], Singapore [16], South Korea [46], and United States [42,47].

The studies in children ($n = 8$) were conducted in Brazil [48–50], India [51], Italy [52], Japan [53], and United States [54,55]. They were published between 2008 [50] and 2020 [48,52].

Table 1. Search strategy in PubMed database

("bruxism"[MeSH Terms] OR "bruxism"[All Fields]) AND ("sleep apnea, obstructive"[MeSH Terms] OR "obstructive sleep apnea"[All Fields] OR ("OSA"[All Fields] OR "SDB"[All Fields] OR "sleep disordered breathing"[All Fields])

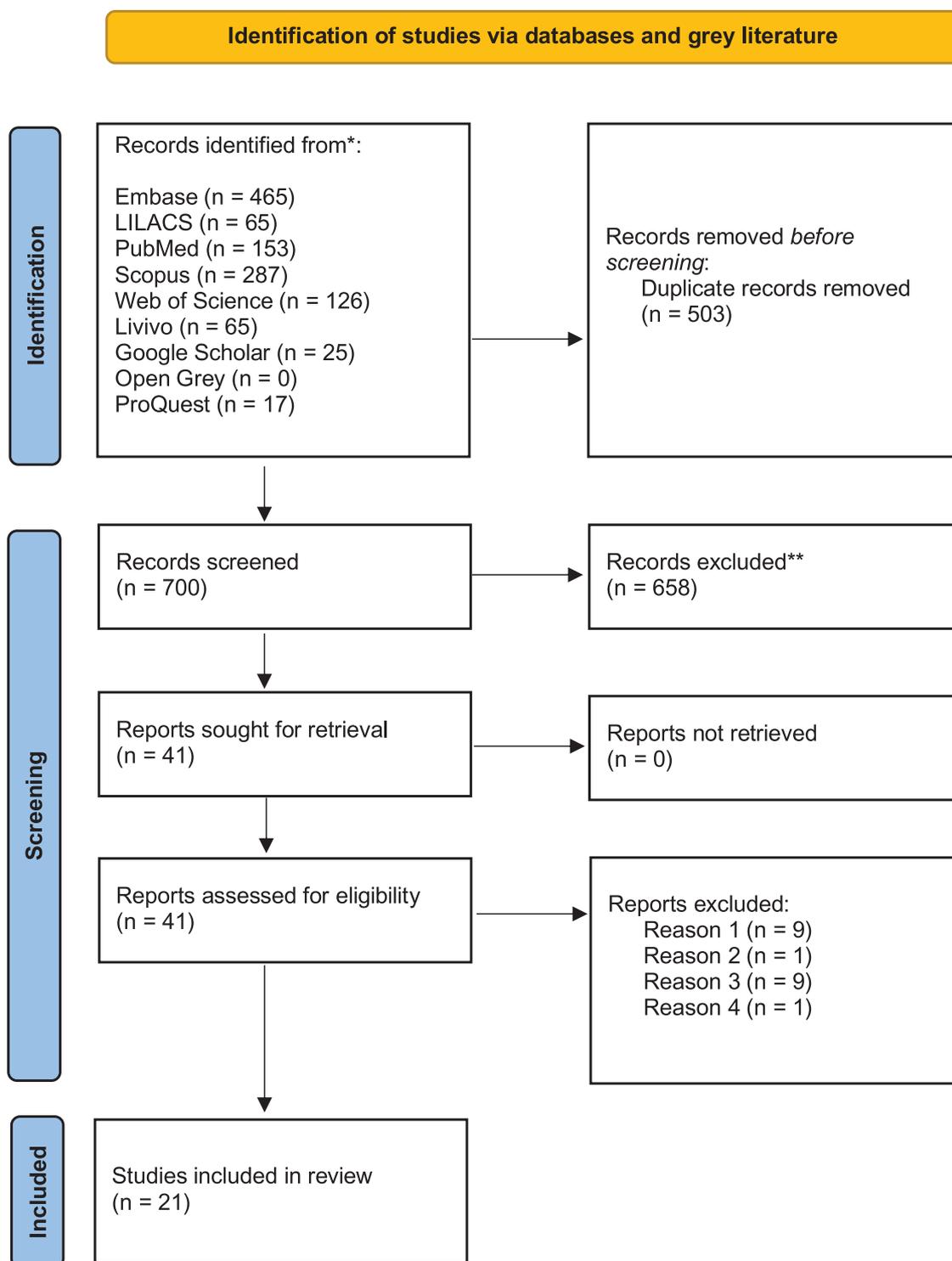


Figure 1. Flow diagram of literature search and selection criteria.

Results of individual sources of evidence

Complete data referring to the individual characteristics of the studies in adults and children are shown in [Tables 2](#) and [3](#), respectively.

Sleep bruxism and obstructive sleep apnea assessment

Adults

SB and OSA conditions were assessed by using PSG.

Children

Sleep bruxism detection

One study used clinical evaluation only [55], three studies used clinical evaluation plus questionnaire [48,49,52], three studies only questionnaire [50,51,53], and only one PSG [54].

Obstructive Sleep Apnea diagnosis

Three studies used PSG [50,54,55], three studies used only questionnaires answered by the caretakers [48,51,53], and two studies used

Table 2. Characteristics of the included studies in adults (n = 13)

Study	Objective	Population	Methods	Results	Additional Information		
Author, Year Country Study Design	Objective	Sample (N), Sex (M/F) Mean age (years)	Inclusion Criteria/Setting	OSA Diagnoses Criteria SB Criteria	Findings/ Main Conclusion Statistical Analysis	Prevalence SB/OSA concomitant	Report on the sources of funding Conflict of Interest
Coelho et al., 2012 [44] Brazil Descriptive	To investigate the prevalence of comorbidity between the SB and OSA in the polysomnographic findings of patients with sleep disturbance.	N = 909 (NR) NR	Medical records of patients of both genders, with suspected sleep disorders, in the period from March 2007 to June 2011.	Apnea plus hypopnea index per hour of sleep, with the occurrence of at least five apneas plus hypopnea per hour of sleep, added to clinical symptoms, the most important of which are loud snoring and excessive daytime sleepiness.	At least two episodes of rhythmic activity of masticatory muscles (ARMM) associated with the sound of "gnashing of teeth"; more than four ARMM episodes per hour of sleep, with no "gritting your teeth" sound; more than five electromyographic bursts per AMMR episodes; or more than 25 EMG bursts per hour of sleep.	5.28% (48/909)	NR NR
Holanda et al., 2020 [34] Brazil Cross-Sectional	To evaluate the association between the diagnosis SB scored by PSG recordings, clinical conditions, and sleep architecture.	N = 116 SB: 58 (M = 25, F = 33) Non-SB: 58 (M = 25, F: 33) SB: 42.20 ± 14.52 Non-SB: 42.55 ± 14.78	All PSG recordings and self-reported data obtained from patients (20 years or older) who underwent PSG at the Pelotas Sleep Institute, a private medical outpatient clinic, from January 2015 to December 2017.	NR	SB was diagnosed more frequently in subjects who had fewer OSA events (p = .005). OSA decreased the chances (OR 0.55; 95 % CI: 0.23–1.30; p = .173) of an SB diagnosis. The AHI (p = .002) was all lower in bruxers than in nonbruxers. Logistic Regression test.	15.5% (58/116)	This research did not receive any specific grant from funding agencies in the public, commercial, or not-for-profit sectors. None declared.
Hosoya et al., 2014 [17] Japan Cross-Sectional	To examine the relationships between SB and sleep respiratory events in patients with OSA and healthy volunteers.	N = 83 OSA: 67 Non-OSA: 16 OSA: 54.3 ± 13.2 Non-OSA: 23.9 ± 5.5	Patients with suspected OSA who consulted the Respiratory Medicine Department of Tohoku University Hospital between May 2010 and August 2011.	Apnea: cessation of airflow lasting 10 s or more. Hypopnea: a greater than 50% decrease in the thoracoabdominal amplitude associated with a greater than 3% decline in the oxygen saturation from the preceding value.	Subjects were diagnosed with SB when they had more than four bruxism events per hour of sleep.	47.8% (32/67)	NR None to declare.
					Comparison: Apnea/hypopnea and desaturation events occurred significantly more frequently in subjects with than without SB. There were no significant differences in sleep efficiency, micro-arousal event, between subjects with and without SB. Correlation: The frequency of SB events was positively correlated with frequencies of each of the following: apnea/hypopnea, OSA, micro-arousal and oxygen desaturation. Mann-Whitney U test and Spearman correlation test		

Table 2. Continued

Study	Objective	Population	Methods	Results	Additional Information			
Author, Year Country Study Design	Objective	Sample (N), Sex (M/F) Mean age (years)	Inclusion Criteria/Setting	OSA Diagnoses Criteria	SB Criteria	Findings/ Main Conclusion Statistical Analysis	Prevalence SB/OSA concomitant	Report on the sources of funding Conflict of Interest
Phillips et al., 1986 [42] United States Cross-Sectional	To determine the relationship between OSA and parafunctional activity.	N = 24 (M = 21, F = 3). OSA: 14 Non-OSA: 10 OSA: 52 ± 15.9 years Non-OSA: 50.2 ± 16.4 years	Patients referred to the University of Kentucky Sleep Apnea Laboratory during the four-month period between July and October 1985 for evaluation of possible OSA.	Apnea: was defined as cessation of airflow at the nose and mouth for 10 s or longer during sleep. Hypopnea: was defined as a reduction in airflow associated with a 4% fall in oxygen saturation.	A clench was defined as activity of the masseter muscle exceeding 40% of the maximum clench of that muscle and lasting for two seconds or longer.	Nocturnal clenching was slightly higher in patients with OSA than those without (12.2 vs 7.6 p = .18), and there was a correlation between the clench index and AHI by linear regression (r = 0.49, p < .05). There were significant falls in both the AHI (64.4 ± 28.8 vs 36.5 ± 36.7, p = .02) and clench index (12.5 ± 12.1 vs 7.0 ± 8.6, p = .04) in the lateral decubitus vs supine sleeping positions. NR	NR	NR NR
Saito et al., 2013 [36] Japan Descriptive	To investigate the temporal association between SB events and OSA events.	N = 10 (male) 46.7 ± 11.5 years	Subjects with confirmed OSA and SB.	Apnea-hypopnea event: American Academy of Sleep Medicine	SB: Events were identified as RMMA. The amplitude was set twice the baseline activity.	In patients with concomitant OSA and SB, most SB events occurred after OSA events, suggesting that SB events occurring close to OSA events is a secondary form of SB. NR	NR	NR No conflict of interest declared.
Saito et al., 2016 [32] Japan Cross-Sectional	To investigate, in a population reporting awareness of both OSA and SB, the associations between each specific breathing and jaw muscle event.	N = 59 (M = 47, F = 12) 44.8 ± 10.8 years	Japanese patients reporting awareness of breathing cessation and tooth grinding as well as signs and symptoms of OSA and SB.	Apnea and hypopnea events were scored according to standard criteria, with an AHI threshold of 5 events/h or more.	RMMA/SB Episode/h > 2 and/ or RMMA/SB bursts/h > 25.	AHI did not show a significant correlation with RMMA/SB episodes nor with RMMA/SB bursts. Sleep arousals in patients with concomitant SB and OSA are not strongly associated with onset of RMMA/SB. Spearman correlation test.	50.84% (30/59)	No No
Sjoholm et al., 2000 [35] Canada Cross-Sectional	To test the hypothesis of a direct association between SDB and SB.	N = 21 (M = 19, F = 2) 40.0 ± 29.2 years	Patients with OSA.	Apnea: was defined as the cessation of airflow for at least 10 s. Hypopneas: were defined as a decrease of more than 50% in thoracoabdominal amplitude for at least 10 s.	(1) Subjective estimation: teeth grinding, or clenching was reported by the patient one to two nights or more per week. (2) Clinical: the number and extent of visible wear facets on tooth enamel (attrition), the presence of masticatory muscle fatigue, and/or discomfort of the temporomandibular joint. (3) Masseter EMG: If the arbitrary cut-off point of 2.5 rhythmic jaw-movement episodes per hour was exceeded, the participant was as a bruxer during that night.	Masseter contraction episodes were associated with the termination of apnea or hypopnea episodes in 3.5% of the mild group and 14.4% of the moderate group (p < 0.05). It appears that SB is rarely directly associated with apneic events. Student's t-test	47.61% (10/21) Mild OSA 54% (6/11) Moderate OSA 40% (4/10)	NR NR

Table 2. Continued

Study	Objective	Population	Methods	Results	Additional Information			
Author, Year Country Study Design	Objective	Sample (N), Sex (M/F) Mean age (years)	Inclusion Criteria/Setting	OSA Diagnoses Criteria	SB Criteria	Findings/ Main Conclusion Statistical Analysis	Prevalence SB/OSA concomitant	Report on the sources of funding Conflict of Interest
Smardz et al., 2020 [43] Poland Cross-Sectional	To evaluate the relationship between SDB and SB.	N = 77 (M = 21, F = 56) SB:58 Non-SB:19 34.8 ± 10.8 years	Patients above 18 years age, with a positive diagnosis of probable SB of the Clinic of Prosthetic Dentistry operating in the Department of Prosthetic Dentistry at Wrocław Medical University.	Apnea: defined as the absence of airflow through the airway for more than 10 s. Hypopnea: has been defined as a decrease in respiratory amplitude by more than 30% for more than 10 s, followed by subsequent blood desaturation of more than 3% or subsequent arousal.	Episodes qualified as bruxism if there was a RMMA, often accompanied by grinding sounds and characteristic movements in the mandible occurring after a minimum of 3s break from the last muscle activity. Episodes were classified as phasic (lasting 0.25 to 2s), tonic (lasting more than 2s), or mixed.	Both groups (SB and Non-SB) not differ statistically significantly in terms of oxygen desaturation index (U = 540.0, p = .90) and AHI (U = 531.5, p = .82). Quantitative analysis showed a lack of a statistically significant relationship between AHI and ODI in the patients with and without SB. Mann-Whitney U test	NR	Authors have no competing interests to declare. This study was co-financed by financial resources for Young Researchers of Wrocław Medical University, Poland (STM. B022.17.011). The funding source was not involved in the study design, in the collection, analysis and interpretation of data, in the writing of the report, and in the decision to submit the article for publication. NR No Conflict of interest.
Tan et al., 2019 [16] Singapore Cross-Sectional	To determine the prevalence of SB in adult patients with OSA, to assess the association between SB and OSA in terms of sleep macrostructure and respiratory parameters and to determine possible OSA risk factors for SB.	N = 147 (M = 100, F = 47) SB: 49 (M = 37, F = 12) Non-SB: 98 (M = 63, F = 35)	Patients diagnosed with mild, moderate, or severe OSA and aged 25 years and above who underwent a PSG at the Ng Teng Fong General Hospital sleep clinic from July 2015 to February 2016.	Apnea: was determined as a cessation in airflow of 90% for a minimum period of 10 s. Hypopnea: was identified when the airflow dropped by 30% for a period of 10 s, accompanied by an oxygen desaturation of 3%. AHI: indicated the severity of OSA through the representation of number of apnea/hypopnea events per hour of sleep. Mild OSA was defined as having an AHI of 5 or more and less than 14 respiratory events per hour, moderate OSA between 15 or more and less than 30 events per hour, and severe OSA as 30 or more events per hour.	SB episodes were established when masseter RMMA exhibited twice the background EMG amplitude and were preceded by a period of > 3 second of stable background EMG.	Of the 147 patients with OSA, 49 (33.3%; 95% CI: 25.7 to 40.9%) were diagnosed with SB, while 98 (66.7; 95% CI: 59.1% to 74.3%) had no SB (descriptive analysis). An association was found between AHI and SB group. Patients with SB had significantly higher Respiratory Arousal Index and Oxygen Desaturation Index than patients without SB. This study demonstrated that SB occurs in about one-third of patients with OSA. Mann-Whitney U test.	33.33 % (49/147)	NR No Conflict of interest.

Legend: AHI: Apnea-Hypopnea Index; CI: Confidence Interval; EMG: Electromyography; F: Female; M: Male; NR: Not Reported; OSA: Obstructive Sleep Apnea; PSG: Polysomnography; RMMA: Rhythmic Masticatory Muscle Activity; SB: Sleep Bruxism; SAHOS: Obstructive sleep apnea-hypopnea syndrome.

Table 3. Characteristic of the included studies in children (n = 8).

Study	Objective	Population		Methods		Results		Additional Information
		Author, Year Country Study Design	Sample Sex (M/F) Mean age or range	Inclusion Criteria OSA and SB Assessment	OSA Diagnoses Criteria	SB Criteria	Findings Statistical Analysis	Prevalence SB/OSA con-comitant
Castilho et al., 2020 [48] Brazil Cross-Sectional	To study the incidence of mouth breathing and its association with sleep disorders, dental caries, malocclusion, and deleterious oral habits, in children.	152 (M = 80, F = 72) 6–9 years	Children of three schools with different socioeconomic indicators, chosen by the Education Department of the Municipality of Botucatu/SP, Brazil during the period of May 2016 to November 2017. OSA: OSA-18 SB: Questionnaire and clinical evaluation	The result of the OSA-18 questionnaire is given by the sum of the values chosen by the person interviewed for the frequency with which the cited events occur. The numerical value can be translated as low (<60 points), moderate (≥60 points and < 80 points) or high (≥80 points) risk for OSAS by the child.	NR	Children who had a low and moderate risk for OSAS (OSA-1 and OSA-2) had 39% of bruxism. In 29 patients with severe risk for OSAS (OSA-3), the proportions were 67%. A descriptive statistical analysis of the data with frequency and percentage for the qualitative variables. Chi-square or Fisher's exact test was performed when necessary	40.78 % (62/152) OSA mild and moderate: 39% (54/138) OSA severe 67% (8/14)	NR NR
Ferreira et al., 2015 [49] Brazil Cross-Sectional	To evaluate the prevalence and to test for possible associations between SB and OSA.	496 (M = 249, F = 247) 4.49 ± 1.04 years	Children of pre-school age (3–6 years old) who live in the city of Taubate. OSA: clinical examinations and application of a questionnaire to be answered by the parents, based on a modified version of the Mallampati questionnaire. SB: clinical examinations and questionnaire to be answered by the parents.	Those participants who had a Mallampati score of III or IV and whose parents answered "Yes" for all the questions on the OSA questionnaire were diagnosed with sleep apnea.	Clinical examinations evaluated the presence of wear facets on canines and incisors where the worn borders of the teeth fit the wear facet of the antagonist tooth during excursive movements.	An association was found between the presence of SB and OSA, where 11.03% of subjects with SB also exhibit OSA, and 97% of subjects without SB did not present OSA $p < .001$. SB was associated with OSA. Descriptive statistics, and possible associations were tested using a Chi-square test. ANOVA was used to compare the average age among children who were and were not diagnosed with SB and OSA.	2.82 % (14/496)	The authors would like to thank CNPq (a Brazilian governmental research agency) and the University of Taubate for the grant received by the first author (NMRF) to develop this study (PIBIC #072/12). The authors deny any conflict of interest.
Goyal et al., 2018 [51] India Cross-Sectional	To estimate the prevalence of OSA in school children aged 5–10 years and its association with academic performance.	1346 (M = 836, F = 510) NR 5–10 years	Children aged between 5 and 10 years at three purposively selected schools of Bhopal, India, from July 2015 to November 2015. OSA: Validated 22-item pediatrics sleep-related breathing disorder (SRBD). SB: Questionnaire.	OSA: SRBD > 33%.	NR	Students with positive SRBD had higher chances of having bruxism (29% vs. 15.4%; $p < .0001$, adjusted OR: 1.7; 95% CI: 1.1–2.6). Logistic regression analysis	NR	No There are no conflicts of interest.
Gregório et al., 2008 [50] Brazil Cross-Sectional	To investigate the symptoms most frequently found in children with a PSG diagnosis of OSA.	38 (M = 19, F = 19) ** 3 children who were nonapneic. 8.4 ± 3.99 years	Children consecutively referred to the sleep laboratory with suspicion of OSAS between June of 2003 and December of 2004. OSA: PSG SB: Pre-sleep questionnaire	OSA: was defined as a decrease of at least 50% of the baseline flow associated with the desaturation of 4% or more and/or micro-arousals. AHI: was calculated based on the number of obstructive apneas and hypopnea events occurring during one hour of sleep. The adopted classification were: normal with an AHI < 1; mild with an AHI between 1 and 5; moderate when the AHI is between 5 and 10 and serious when the AHI is > 10.	NR	In children with OSA, bruxism was seen in 31.3%. All the children diagnosed with severe OSA also presented bruxism. Student's t-test or Mann-Whitney test	31.3 % (11/35)	NR NR

Table 3. Continued

Study	Objective	Population		Methods		Results		Additional Information
		Sample Sex (M/F) Mean age or range	Inclusion Criteria OSA and SB Assessment	OSA Diagnoses Criteria	SB Criteria	Findings Statistical Analysis	Prevalence SB/OSA concomitant	Report on the sources of funding Conflict of Interest
Segu et al., 2020 [52] Italy Cross-Sectional	To assess such correlations in a large sample of school children between SB and sleep disorders.	741 (M = 409, F = 332) 11.26 ± 4.05 years	A group of 741 consecutive children of a private orthodontic practice between January 2016 and May 2019. OSA and SB: Clinical evaluation and questionnaire Sleep Disturbance Scale for Children.	NR	NR	The Spearman test reported a significant correlation between parental-reported tooth grinding and sleep apnea ($r = 0.092$). There is a significant correlation between parental-reported tooth grinding and OSA. Spearman test	NR	NR The authors declare that there are no conflicts of interest.
Sheldon SH, 2010 [54] United States Cross-Sectional	To evaluate the presence of SB noted on comprehensive polysomnography in 119 consecutive patients with possible OSA.	119 (NR) 7.0 ± 4.0 years	Patients between ages 3 and 16 years, referred to the Pediatric Sleep Medicine Center with symptoms of snoring. OSA and SB: PSG	OSA: was scored when a greater than 90% decrease was present in the signal amplitude for 90% or greater of the entire respiratory event compared with pre-event baseline amplitude. Hypopnea: was scored if the event was associated with a 50% or greater decline in the amplitude of the nasal pressure for at least two respiratory efforts, the fall in nasal pressure lasted 90% or more of the entire respiratory event compared with the amplitude preceding the event, and the event was associated with an arousal, awakening, or 3% or greater oxygen desaturation.	Bruxism was defined as three or more rhythmic contractions of the temporalis muscles, as measured with temporalis muscle electromyogram (EMG), occurring during NREM or REM sleep lasting more than 3 seconds, but less than 15 seconds.	Sleep-related rhythmic temporalis muscle activity associated with arousals significantly associated with indices of respiratory disturbance, particular the Arousal Index, and AHI, as measured using standard pediatric polysomnographic techniques. Mann-Whitney.	NR	NR NR
Singh N, 2011 [55] United States Cross-Sectional	To investigate whether sleep bruxism-related tooth wear could be a clinical marker for pediatric OSA.	50 (M = 25, F = 25) No OSA (14) Mild OSA (21) Moderate OSA (7) Severe OSA (8) 7.6 ± 1.5 years	The subjects were recruited from a pediatric sleep disorder center and a private dental practice. OSA: PSG SB: Dental wear score.	The AHI scored the OSA events and was used to classify the subjects into those with OSA and those with no OSA (controls; AHI < 1).	Score 1 = no dental wear, or obvious wear of enamel or wear through the dentin in single spots. Score 2 = wear of the dentin up to one-third of the crown height Score 3 = wear of the dentin more than one-third of the crown height.	The results revealed no statistically significant association between both the presence and severity of OSA and the presence and severity of sleep bruxism-related dental wear. SB related dental wear is not a clinical indicator of pediatric OSA. Descriptive	NR	Dr. Nischal Singh is NOT receiving any financial sponsorship or remuneration to conduct this study. There is no conflict of interest that would compromise his position or this research study.

Table 3. Continued

Study	Objective	Population	Methods	Results	Additional Information			
Author, Year Country Study Design	Objective	Sample Sex (M/F) Mean age or range	Inclusion Criteria OSA and SB Assessment	OSA Diagnoses Criteria	SB Criteria	Findings Statistical Analysis	Prevalence SB/OSA con- comitant	Report on the sources of funding Conflict of Interest
Tachibana et al., 2016 [53] Japan Cross-Sectional	To investigate the prevalence of sleep bruxism in children in Japan, and its relation- ships with sleep- related factors and daytime problem- atic behavior.	6023 (M = 2975, F = 3048) NR	2191 preschoolers and 3832 elemen- tary school stu- dents from Japan were subject to analysis. OSA and SB: Japanese Sleep Questionnaire	Higher scores indicated greater signs of sleep disorders or deleterious sleep habits.	SB Does he/ she grind his/her teeth during sleep by rating on a 6-point in- tensity Likert scale.	Sleep bruxism significantly correlated with OSA. Logistic regression	21% (1263/ 6023)	Fundings from the Challenge to Intractable Oral Diseases and the Grantin-Aid for Scientific Research and from the Center of Innovation Science and Technology based Radical Innovation and Entre- preneurship Program. None to de- clare.

Legend: AHI: Apnea-Hypopnea Index; F: female; M: male; NR: Not Reported; OSA: Obstructive Sleep Apnea; PSG: Polysomnography; SB: Sleep Bruxism; SDB: Sleep-disordered breathing.

a questionnaire plus clinical evaluation to detect OSA [49,52]. More details are presented in Table 3.

Obstructive sleep apnea diagnostic criteria

The studies conducted in adults [16,17,32–36,42–47] adopted the American Academy of Sleep Medicine (AASM) [56] recommended or acceptable diagnostic criteria for OSA in the PSG analysis (Table 2).

In children, there was significant variation in the diagnostic criteria for OSA due to the different types of questionnaires used for detection (Table 3).

Sleep bruxism detection criteria

In general terms, the studies in adults considered SB events as increases in the masseter EMG activity of at least twice the amplitude of the background EMG [16,17,32,33,36,45,46]. Two studies [42,47] considered an event when the activity of the masseter muscle exceeded 40% of the maximum clench of the muscle. However, other studies did not report the criterion for SB events [34,35,43,44]. The number of episodes of SB used as a classification score is described in Table 2.

The criteria used by studies in children to detect bruxism are shown in Table 3.

Synthesis of Results

Prevalence of concurrent sleep bruxism and obstructive sleep apnea

Adults

The prevalence of co-occurrence of the two conditions among studies that used PSG to detect both conditions ranged from

5.28% [44] to 50.84% [32] (median 39.3%; interquartile range 34.9%).

Children

The prevalence of the two concurrent conditions ranged from 2.82% [49] to 40.78% [48] (median 26.1%; interquartile range 31.0%).

Association between sleep bruxism and obstructive sleep apnea

Adults

Ten studies [16,17,32–34,42,43,45–47] evaluated the association between SB and OSA, and four studies showed a positive association [16,17,42,45]. Martynowicz et al. [45] reported that this association only occurred in patients with mild and moderate OSA. Two studies revealed a negative association [34,46]; that is, fewer episodes of OSA occurred in patients with detected SB. Four studies found no association [32,33,43,47] (Figure 2).

Children

Most studies demonstrated a positive association; however, as mentioned, there was no homogeneity in the detection methods [49,51–54] (Figure 3). Although an association has been shown to be present in studies involving children, it is worth mentioning that most studies used questionnaires and clinical evaluation as the method of detection and not the standard reference exam, the PSG.

Temporal relationship

Two studies evaluated the temporal relationship between SB and OSA. The study by Sjöholm et al., 2000 [35] showed that events between the two conditions seem to be rarely associated. Saito et al. (2013) [36] indicated that most bruxism events occurred

after sleep apnea-hypopnea events and that some occurred before, reducing the strength of a causal, temporal sequence.

Respiratory variables in adult studies

Findings related to the respiratory variables analyzed (Oxygen Desaturation Index, Sleep Efficiency, Arousal Index,

AHI, and Minimum Oxygen Saturation) are shown in Table 4. There were no statistical differences in sleep efficiency between the groups with and without SB [16,17,46]. Oxygen Desaturation Index was associated with patients with SB in two studies [16,17]. Higher Arousal Index and lower Minimum Oxygen Saturation were associated with patients having SB in one study [16], but with a small statistically significant difference, albeit not clinically relevant. Regarding the AHI, there were contradictory results, and one study showed higher AHI in SB participants [17], another in non-SB participants [46] and another study reported that no differences were found [43].

Discussion

This scoping review aimed to map the available scientific evidence on the relationship between OSA and SB. A relationship between them cannot be supported in adults at this time. In children, there may be a possible association; however, the evidence is limited since most of the SB diagnostic methods used are heterogeneous. Furthermore, based on the current evidence, studies that did not use PSG data could not be considered reliable [57].

Although OSA is linked to serious morbidities, it is still underdiagnosed and consequently not adequately or timely managed in the general population [45]. This fact emphasizes the importance of identifying factors that could

Study	Association SB and OSA
Holanda et al., 2020 [34]	(-)
Hosoya et al., 2014 [17]	(+)
Kim et al., 2020 [46]	(-)
Maluly et al., 2020 [33]	No
Martynowicz et al., 2019*[45]	(+)
Okeson et al., 1991 [47]	No
Phillips et al., 1986 [42]	(+)
Saito et al., 2016 [32]	No
Smardz et al., 2020 [43]	No
Tan et al., 2019 [16]	(+)

Figure 2. Association between SB and OSA in adults, where (+) means positive association, (-) negative association and No (no association). *In the Martynowicz et al., 2019 study, this association was found in mild and moderate OSA.

Study	Association SB and OSA	SB Detection	OSA Detection
Ferreira et al., 2015 [49]	(+)	Clinical examination and questionnaire.	Clinical examination and questionnaire (Modified version of the Mallampati)
Goyal et al., 2018 [51]	(+)	Questionnaire	Validated 22-item pediatrics sleep-related breathing disorder.
Segu et al., 2020 [52]	(+)	Clinical evaluation and questionnaire Sleep Disturbance Scale for Children	Clinical evaluation and questionnaire Sleep Disturbance Scale for Children
Sheldon SH, 2010 [54]	(+)	PSG	PSG
Singh N, 2011 [55]	No	Dental Wear Score	PSG
Tachibana et al., 2016 [53]	(+)	Japanese Sleep Questionnaire	Japanese Sleep Questionnaire

Figure 3. Association between SB and OSA in children, where (+) means positive association, and No (no association).

Table 4. Results related to the respiratory variables analyzed in adults (ODI, SE, AI, AHI, and minOSAT)

	Study	SB Mean±SD	Non-SB Mean±SD	p value
Oxygen Desaturation Index (ODI)	Hosoya et al., 2014 [17]	33.7 ± 25.0	22.4 ± 19.3	<.05*
	Smardz et al., 2020 [43]	5.09 ± 8.24	3.28 ± 3.22	.90
	Tan et al., 2019 [16]	35.05 ± 24.75	26.1 ± 28.65	.005*
Sleep Efficiency (SE)	Kim et al., 2020 [46]	86.2 ± 14.1	81.5 ± 14.7	.14
	Hosoya et al., 2014 [17]	16.7 ± 8.6	17.6 ± 8.6	>.05
	Tan et al., 2019 [16]	85.20 ± 12.40	85.49 ± 13.01	.83
Arousal Index (AI)	Kim et al., 2020 [46]	6.9 ± 9.3	21.0 ± 26.3	.13
	Hosoya et al., 2014 [17]	40.0 ± 18.8	31.3 ± 15.9	>.05
	Tan et al., 2019 [16]	49.92 ± 18.05	43.44 ± 21.07	.03*
Minimum Oxygen Saturation (minOSAT)	Kim et al., 2020 [46]	83.8 ± 8.5	80.4 ± 9.6	.26
	Smardz et al., 2020 [43]	88.90 ± 6.34	88.16 ± 9.39	.93
	Tan et al., 2019 [16]	78.47 ± 10.21	81.47 ± 10.76	.04*
Apnea-Hypopnea Index (AHI)	Kim et al., 2020 [46]	25.5 ± 16.6	45.1 ± 28.2	.03*
	Hosoya et al., 2014 [17]	37.2 ± 22.9	27.0 ± 19.7	<.05*
	Smardz et al., 2020 [43]	5.52 ± 9.40	3.49 ± 3.55	.82

indicate an increased probability of having OSA. In addition to physicians, the dentist could serve as one of the primary care professionals to screen patients for risk factors for OSA such as age, gender, body mass index, poor sleep, and especially, orofacial pain or morning headache, retrognathia, high palate, and enlarged tonsils or tongue due to their field of practice [58]. Furthermore, physicians and dentists can carry out the detection of possible SB by interviewing the patients on awareness of tooth grinding or clenching in relation to sleep, and to a probable status through clinical examination of jaw muscle pain or tenderness, and tooth-related signals. In this regard, it is essential to emphasize that the clinical evaluation should not be done in isolation and be based solely on tooth wear, as the latter is not the most reliable sign [1]. The assessment of tooth wear provides information on the cumulative amount of tooth surface loss, but does not provide information on the timing of the loss, i.e. whether the process is ongoing or is a result of a previous loss due to grinding vs. exacerbation by gastric reflux or acidic diet [5].

The studies conducted to assess the association between SB and OSA showed significant variability in their findings and conclusions. The studies were based on very different populations (i.e. SB only for which respiratory variables were analyzed, preferentially OSA with some SB overlap up to general population) and were designed with *a priori* intent to explore other objectives. It is also possible to observe gender and age differences in the test and control groups among the studies. It is known that the incidence of OSA is higher in men than in women, and there is a higher prevalence of OSA with high body mass index (BMI) and aging [26]. On the other hand, the incidence of self-reported SB decreases with age, and there appears to be no gender-related differences, although no difference was observed using PSG data [2,3]. The included studies do not describe the population in detail, particularly concerning the presence of comorbidities such as gastroesophageal reflux disease, habits such as alcohol and coffee intake, smoking, and use of antidepressant drugs, all of which are factors known to be associated with SB [59]. Due to their cross-sectional and descriptive study design, it is therefore impossible to establish a causal relationship even when an association is found [60]. Furthermore, only two studies

evaluated the temporal relationship between OSA and SB events, which could be a better strategy for potentially explaining the putative causal relationship between the two conditions.

Variability was also noted in the metrics used to detect OSA and SB. For the diagnosis of OSA, the metrics used have improved or changed over time. In the earliest literature [42,47], a hypopnea event was defined as a 50% reduction in the thermocouple signal amplitude associated with a 4% fall in oxygen saturation. Nowadays, the scoring rules from the AASM [56] are quite different, with a hypopnea event being defined as a reduction in airflow of $\geq 30\%$ for ≥ 10 s with a $\geq 3\%$ decline in blood oxygen saturation or arousal [56]. Thus, depending on the metrics used, it is possible to expect differences in the association of SB with respiratory events. In addition, the evidence we have is likely to change, considering the need to refine the OSA metrics by including additional phenotypic parameters [61].

Along the same lines, different metrics have recently been reported on the cut-off values used to define sleep bruxers. There is no standardization regarding this cut-off point among studies that assess the association of SB and OSA controlled for gender and age and BMI and other putative factors such as OSA-related phenotype, anatomical obstruction, muscle tone, loop gain reactivity, and arousal threshold [62,63]. Also, the traditional standard approach consists of counting SB episodes recorded by electromyography during a PSG or with a portable recording device [64]. The identification of such events is made visually or with an algorithm detector, and training is required to obtain accuracy and precision. Such assessment is time-consuming, should be done blind to patient status or study objective, and is subject to inaccuracies since, similarly to other PSG scoring approaches, it may be sensitive to fatigue or distraction by the observer, leading to a risk of high inter- and intraindividual variability [65,66]. The development of more homogenized metrics will contribute to improve the accuracy and refinement of the SB assessment while moving towards a comprehensive approach, with ideally sleep recording done in a natural environment over more than one night to take into account the night-to-night variability of both SB and OSA metrics. [24,61]. Alternatively, should we have to rethink the methods considered as a reference standard to detect SB when

a study design challenges causality? Whether the temporality of SB episodes is protective or not was also not clarified since only two studies evaluated the temporal association, and even such studies used different methodologies [35,36].

Among the respiratory variables analyzed in the adult studies, not statistically or clinically significant correlations emerged in most of them. It is possible, although not proven, as observed in about 20% of otherwise healthy individuals with SB, that minor and transient fluctuations in the oxygen saturation levels might contribute to the genesis of rhythmic muscular masticatory activity during sleep [67] by promoting the occurrence of micro-arousals [68]; a hypothesis not supported by recent analyses in patients with comorbid SB and OSA [69]. Furthermore, the average arousal index values of the papers analyzed in the present scoping review were not different across studies, thereby refuting these assumptions, or at least suggesting that more in-depth assessments of these joint events are needed to identify if subgroups of patients may have more specific characteristics, based on anatomical or non-anatomical phenotype [62,63].

The challenge of studying the association between OSA and SB in children is even more problematic. Although not ideal, due to its complexity, costs, and the need to sleep in a sleep laboratory, the gold standard for reaching the diagnosis of OSA in children remains nocturnal PSG [57]. Due to the difficulties in performing this exam in children, a large proportion of the research studies related to OSA and SB implemented alternatives to PSG, such as sleep-related questionnaires [70–72] and symptoms-based scores [73]. A SR that evaluated the prevalence of SB in children pointed out that a major limitation of the existing studies was that PSG was not performed [74]. Although most of the included studies [49,51–53] showed an association between the SB and OSA, which is also in agreement with the literature [75], we must be careful when adjudicating this association as factual, considering the highly variable and relatively inaccurate methodology implemented in such studies, mainly the fact that the most studies not used the standard reference (PSG) to detect SB and some studies do not report the score to SB detection.

Strengths, limitations

The extensive search in the literature for articles on the proposed topic that encompassed international and multidisciplinary databases, the meticulous subscription to scoping review guidelines and data summary, and consulting of the gray literature are obvious strengths of the present study, along with the inclusion of studies involving both adults and children. Furthermore, we are unaware of another knowledge synthesis article involving this topic in children.

As limitations, the most evident is the variability of the findings found along with the impossibility of interventional studies that could allow for more robust derivation of a potential causal relationship. It also became apparent that new metrics and technologies are needed to promote improved delineation of the criteria for SB and OSA detection.

Scoping reviews do not require a quality assessment of the reviewed studies, as is the case for SRs [76]. Therefore, such limitations in the interpretation of results must be acknowledged. Another limitation that should be highlighted was the attempt to investigate confounding factors. However, studies

underreport this information, presenting simple statistics without adjustment for possible confounding factors.

Suggestions for future research

In view of the mapped literature, it is possible to begin to understand why the systematic reviews on the subject reached the same conclusion as the current one, namely that there is not enough evidence to confirm or refute an association between SB and OSA [37–39]. If the primary studies continue to be developed in the same way, a definitive answer will remain elusive. Thus, based on our findings, we propose the following suggestions for future studies and a reflection on this field.

Future studies should focus their analysis not only on identifying a relationship between SB and OSA, but to investigate the temporal association of the episodes (order of occurrence, spacing between episodes, and duration) [35,36]. Furthermore, studies with larger population-based samples, participants who present the condition and do not present the condition (not just people with suspected OSA) [33] will be necessary. Population matching, based on sex, age, and BMI [16,77], should also be needed which can act as important confounders [78]. Along with the inclusion of accurate information on issues that can induce or attenuate SB such as tobacco, alcohol, caffeine consumption [59,79], use of medications and addictive substances [80], or previous treatment with positive airway pressure [81]. Information should be collected based on the medical history and behavior of the patient like hypertension, orofacial pain, tooth grinding, clenching [82], sleepiness, insomnia, fatigue, snoring choking, with validated questionnaire-based tools (e.g. Stop Bang or similar) [83].

PSG remains the standard reference method for the detection of SB and OSA, although home sleep testing offers other advantages, such as multiple night recordings to take into account intrinsic night-to-night variability [23,84]. However, despite using the criteria for diagnosing OSA established by the AASM that are primarily based on AHI, overreliance on AHI needs to be monitored, as suggested by a critical appraisal of the extensive literature on this subject [61]. Regarding SB detection, the AASM recommendations also seem to reflect the current state of the field. Based on these recommendations, an episode is considered if it happens at twice the basal amplitude and the events display the following characteristics: (1) tonic (at least one masseteric EMG shot greater than 2 seconds), (2) phasic (three or more shots of masseteric EMG lasting between 0.25 and 2 seconds), or (3) mixed (both types). The cut-off for defining SB should be more than 2 episodes per hour and/or > 25 bursts per hour, although their validity in general population studies when comorbidities are present still needs to be confirmed [85].

Again, keeping an eye on future developments is a must, as suggested by a recent review about research routes on improved SB metrics considering technological innovation for accurate assessments [24] and differences that may be explained by non-anatomical phenotype for OSA (e.g. muscle tone, loop gain, arousal threshold) [86] and SB (e.g. arousal index, heart rate variability, presence of big breath, other body movements) [69]. The summary of this information is shown in Table 5.

Considering the current findings, the following question arises: should financial and intellectual resources be invested in the search

Table 5. Summary of suggestions for future studies

- Investigate the temporal relationship of OSA and SB episodes
- The aim and hypotheses should be specific to challenge the association of OSA and SB
- Populations with sufficient sample size to support the statistical comparison
- Control for the influence or moderation of the following: age, gender, body mass index, anatomical variables, Mallampati and Freidman scores, use of medication, alcohol, cannabis, previous treatment (Continuous Positive Airway Pressure, oral device)
- Plan specific causality challenge: risk factor exposure, using medication or device to test if a causality can be reversed
- Use data collection method and scoring according to recognized standards
- Assess if frequency-severity is correlated (number of rhythmic masticatory muscle activity and AHI)
- Collect data in sleep environment if medical risk for patient, otherwise favor home sleep testing with oromotor, cardio-respiratory outcomes and this over 3-4 nights
- Collect questionnaire-based information on medical history of the patients and, when possible, using validated questionnaires
- If possible, to assess role of non-anatomical phenotype for OSA and SB.

for an association between OSA and SB? The answer is definitely yes, since so far, we do not have clear evidence on the matter, and acquiring such evidence would allow us to make better decisions in patient management. The limitations listed above, however, need to be overcome. In addition, it may also be necessary to strive for truly plausible metrics and more effective and cheaper detection methods.

For adults, it doesn't seem to be possible to confirm a relationship between OSA and SB, nor confirm a protective effect of bruxism in patients with OSA based on current literature. Although a relationship with OSA seems plausible for SB children, the identified scientific evidence is scarce and present limitations on the SB detection methods. At this point, it is important to study appropriate metrics, taking into account the possible existence of a subgroup for whom an association of SB and OSA may be present, i.e. a distinct phenotype that still needs to be identified.

Supplementary material

Supplementary material is available at SLEEP online.

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